

## BALANCE SHEET AS AT 31 JANUARY 2019

Unit: VND

ITEMS	Code	This year	Previous year
<b>ASSETS</b>			
<b>A. CURRENT ASSETS (100 = 110 + 130)</b>	<b>100</b>	<b>2.143.114.155.586</b>	<b>2.547.076.962.053</b>
<b>I. Financial assets (110 = 111 -&gt;129)</b>	<b>110</b>	<b>2.140.508.001.342</b>	<b>2.544.928.394.217</b>
<b>Cash and cash equivalents</b>	<b>111</b>	<b>8.604.449.722</b>	<b>465.849.247.326</b>
1.1 Cash	111.1	8.604.449.722	465.849.247.326
1.2. Cash equivalents	111.2	0	0
2. Financial assets at fair value through profit or loss	112	287.065.062.593	252.425.875.043
3. Held - to - maturity investments	113	52.400.000.003	51.600.000.002
4. Loans	114	1.704.940.089.625	1.682.728.337.545
5. Available for sale	115	129.727.482.497	128.006.432.497
6. Provision for impairment of financial assets and mortgage assets	116	-61.443.147.750	-65.169.527.850
7. Receivables	117	7.033.786.290	20.925.796.544
7.1 Receivables from Sales of financial assets	117.1	0	0
7.2 Receivables and Accrued dividend and interest	117.2	7.033.786.290	20.925.796.544
7.2.1 Due dividends and interests receivables	117.3	0	0
in which: Bad receivables of due dividends and interests	117.3.1	0	0
7.2.2 Expected receivables of undue dividends and interests	117.4	7.033.786.290	20.925.796.544
8. Advances to suppliers	118	6.819.553.743	6.514.867.194
9. Receivables from services provided by the Company	119	5.341.022.724	6.415.254.928
10. Internal receivables	120	0	0
11. Trading errors receivables	121	0	0
12. Other receivables	122	16.003.431.594	11.615.840.687
13. Provision for impairment of receivables	129	-15.983.729.699	-15.983.729.699
<b>II. Other current assets (130 = 131-&gt;136)</b>	<b>130</b>	<b>2.606.154.244</b>	<b>2.148.567.836</b>
1. Advances	131	1.053.803.600	200.751.380
2. Office supplies, material and tools	132	177.845.735	388.072.553
3. Short-term prepaid expenses	133	1.374.504.909	1.559.743.903
4. Short-term deposits, collaterals and pledges	134	0	0
5. Deductible VAT	135	0	0
6. Taxes and receivables from the State	136	0	0
7. Other current assets	137	0	0
8. Bond repos trading	138	0	0
9. Provision for impairment of short-term assets	139	0	0
<b>B. NON-CURRENT ASSETS (200 = 210 + 220 + 230 + 240 + 250 - 260)</b>	<b>200</b>	<b>286.269.037.663</b>	<b>380.084.227.603</b>
<b>I. Long-term financial assets</b>	<b>210</b>	<b>215.223.638.917</b>	<b>306.216.244.096</b>
1. Long-term receivables	211	0	0
2. Investments	212	242.540.104.367	333.532.709.546
2.1 Held - to - maturity investments	212.1	53.592.881.367	142.759.886.546
2.2 Investment in Subsidiaries	212.2	0	0
2.3 Investment in Joint Ventures	212.3	0	0

2.4 Other long-term investment	212.4	188.947.223.000	190.772.823.000
3. Provision for impairment of long term financial assets	213	-27.316.465.450	-27.316.465.450
<b>II. Fixed Assets</b>	<b>220</b>	<b>16.307.351.038</b>	<b>16.648.055.291</b>
1. Tangible fixed assets	221	14.001.704.733	14.126.988.253
- Cost	222	41.794.972.388	40.799.362.388
- Accumulated Depreciation	223a	-27.793.267.655	-26.672.374.135
- Fixed assets valuation at fair value	223b	0	0
2. Leased fixed assets	224	0	0
- Cost	225	0	0
- Accumulated Depreciation	226a	0	0
'- Leased fixed assets valuation at fair value	226b	0	0
3. Intangible fixed assets	227	2.305.646.305	2.521.067.038
- Cost	228	14.019.651.090	14.019.651.090
- Accumulated Amortization	229a	-11.714.004.785	-11.498.584.052
'- Intangible fixed assets valuation at fair value	229b	0	0
III. Investment property	230	0	0
- Cost	231	0	0
- Accumulated Depreciation	232a	0	0
'- Investment property valuation at fair value	232b	0	0
IV. Construction in progress	240	0	0
<b>V. Other long-term assets</b>	<b>250</b>	<b>54.738.047.708</b>	<b>57.219.928.216</b>
1. Long-term deposits, collaterals and pledges	251	1.241.421.567	1.169.613.567
2. Long-term Prepaid Expenses	252	33.496.626.141	36.050.314.649
3. Deferred Tax Assets	253	0	0
4. Deposits to Settlement Assistance fund	254	20.000.000.000	20.000.000.000
5. Other long-term assets	255	0	0
VI. Provision for impairment of long term assets	260	0	0
<b>TOTAL ASSETS (270 = 100 + 200)</b>	<b>270</b>	<b>2.429.383.193.249</b>	<b>2.927.161.189.656</b>
<b>C. LIABILITIES (300 = 310 + 340)</b>	<b>300</b>	<b>707.923.248.453</b>	<b>1.235.009.147.192</b>
<b>I. Current liabilities</b>	<b>310</b>	<b>393.670.819.961</b>	<b>914.347.334.930</b>
<b>1. Short-term borrowings and financial leases</b>	<b>311</b>	<b>249.900.000.000</b>	<b>129.900.000.000</b>
1.1 Short-term borrowings	312	249.900.000.000	129.900.000.000
1.2 Short-term Loans from financial leases	313	0	0
2. Short-term borrowings for financial assets	314	0	0
3. Short-term convertible bond	315	0	0
4. Short-term issued bond	316	0	0
5. Borrowings for the Settlement Advance fund	317	0	0
6. Payables for securities trading expenses	318	3.200.565.141	3.700.362.817
7. Payables for trading error of financial assets	319	0	0
8. Short-term trade Payables	320	2.389.384.100	2.622.604.004
9. Short-term advance from customers	321	2.824.481.817	2.771.000.000
10. Statutory obligations	322	9.966.615.769	8.099.520.084
11. Payables to employees	323	19.206.854.488	43.691.980.762
12. Employees benefits	324	1.447.557.566	967.203.941
13. Short-term accrued expenses	325	3.631.220.273	5.082.283.353
14. Short-term intra company payables	326	0	0
15. Short-term unrealized revenue	327	0	0
16. Short-term received Guarantee Deposits	328	0	0
17. Other Short-term payables	329	33.373.998.701	551.293.643.777

18. Short-term provision for payables	330	0	0
19. Bonus and welfare fund	331	4.553.290.456	6.061.490.456
20. Payables under Bonds repo agreement	332	63.176.851.650	160.157.245.736
<b>II. Non-current liabilities</b>	<b>340</b>	<b>314.252.428.492</b>	<b>320.661.812.262</b>
1. Long-term borrowings and leased	341	0	0
1.1 Long-term borrowings	342	0	0
1.2 Long-term leased	343	0	0
2. Long-term borrowing financial assets	344	0	0
3. Long-term convertible bond	345	0	0
4. Long-term issued bond	346	306.361.269.210	312.922.170.663
5. Long-term trade Payables	347	0	0
6. Long-term advance from customers	348	0	0
7. Long-term accrued expenses	349	0	0
8. Long-term intra company payables	350	0	0
9. Long-term unrealized revenue	351	0	0
10. Long-term received Guarantee Deposits	352	0	0
11. Other long-term payables	353	0	0
12. Long-term provision for payables	354	0	0
13. Provision of Indemnity Investor	355	0	0
14. Deferred Tax Liability	356	7.891.159.282	7.739.641.599
15. Science and Technology Development Fund	<b>357</b>	0	0
<b>A. Owner's equity (400 = 410 + 420)</b>	<b>400</b>	<b>1.721.459.944.796</b>	<b>1.692.152.042.464</b>
<b>I. Owner's equity</b>	<b>410</b>	<b>1.721.459.944.796</b>	<b>1.692.152.042.464</b>
<b>1. Capital</b>	<b>411</b>	<b>1.332.095.854.220</b>	<b>1.332.095.854.220</b>
<b>1.1 Contributed capital</b>	<b>411.1</b>	<b>722.339.370.000</b>	<b>722.339.370.000</b>
a. Legal capital	411.1a	722.339.370.000	722.339.370.000
b. Supplementary capital	411.1b	0	0
1.2 Share premium	411.2	610.253.166.720	610.253.166.720
1.3 Bond option	411.3	0	0
1.4 Other capital	411.4	0	0
1.5 Treasury shares	411.5	-496.682.500	-496.682.500
2. Difference from revaluation of assets at fair value	412	23.081.221.854	23.148.061.854
3. Foreign exchange differences	413	0	0
4. Charter capital supplementary reserve	414	36.121.049.159	36.121.049.159
5. Operational risk and financial reserve	415	36.121.049.159	36.121.049.159
6. Other funds	416	0	0
7. Undistributed Profit	417	294.040.770.404	264.666.028.072
7.1 Realized profit	417.1	318.176.868.755	294.233.027.901
7.2 Unrealized profit	417.2	-24.136.098.351	-29.566.999.829
II. Other resources and funds	420	0	0
<b>TOTAL LIABILITIES AND OWNER'S EQUITY</b>	<b>440</b>	<b>2.429.383.193.249</b>	<b>2.927.161.189.656</b>

## OFF BALANCE SHEET ITEMS

### A. ASSETS OF THE COMPANY AND ASSETS MANAGED UNDER AGREEMENTS

ASSETS		This year	Previous year
1. Leased fixed assets	001	0	0
2. Pledged valuable papers	002	0	0
3. Mortgaged assets	003	0	0
4. Bad debt written off	004	390.400.000	390.400.000
5. Foreign currencies	005	0	0
6. Outstanding shares	006	72.200.145	72.200.145
7. Treasury shares	007	33.792	33.792
8. The company 's Financial assets listed/ registered at VSD	008	204.658.250.000	205.698.370.000
9. The company 's non-trade Financial assets deposited at VSD	009	5.000.000.000	3.000.040.000
10. The company 's awaiting Financial assets	010	37.047.100.000	2.213.300.000
11. The company 's Financial assets used to correct trading errors	011	0	0
12. The company 's Financial assets which have not been deposited at VSD	012	174.686.470.000	174.686.470.000
13. Financial assets under rights enjoyment	013	0	0

### B. ASSETS AND LIABILITIES MANAGED UNDER AGREEMENT WITH INVESTORS

ASSETS		This year	Previous year
1. Investor's financial assets listed/ registered for trading at VSD	021	25.384.701.700.000	24.918.883.010.000
a. Unrestricted financial assets	021.1	24.392.698.190.000	24.019.054.660.000
b. Restricted financial assets	021.2	274.938.950.000	279.960.730.000
c. Mortgage financial assets	021.3	418.502.430.000	312.755.540.000
d. Isolated or suspended financial assets	021.4	92.969.860.000	92.969.860.000
e. Financial assets awaiting settlement	021.5	205.592.270.000	214.142.220.000
f. Financial assets awaiting for lending	021.6	0	0
2. Investor's non- traded financial assets deposited at VSD	022	209.832.030.000	205.695.930.000
a. Unrestricted and non-traded financial assets deposited at VSD	022.1	3.942.760.000	2.146.660.000
b. Restricted and non-traded financial assets deposited at VSD	022.2	205.889.270.000	203.549.270.000
c. Non-traded, non- mortgaged financial assets deposited at VSD	022.3	0	0
d. . Non-traded, non- isolated, not suspend financial assets deposited at VSD	022.4	0	0
3. Investor's awaiting financial assets	023	153.243.230.000	221.116.530.000
4. Financial assets used to correct trading errors of investors	024.a	0	0
5. Investor's financial assets which have not been deposited at VSD	024.b	1.809.322.700.000	1.809.322.700.000
6. Investor's financial assets under rights enjoyment	025	0	0
7. Investor 's Deposits	026	521.496.859.908	799.756.495.843
7.1 Deposits for brokerage activities	027	220.955.940.115	558.187.678.624
7.2 Investor's synthesizing deposits for securities trading activities	028	297.465.543.364	235.604.670.445
7.3 Deposits for clearing and settlement activities	029	0	0
a. Domestic Investor's deposits for clearing and settlement activities	029.1	0	0
b. Foreign Investor's deposits for clearing and settlement activities	029.2	0	0

7.4 Securities issuers 's deposits	030	3.075.376.429	5.964.146.774
8. Payable for investor's deposits for securities trading under the company 's management	031	508.955.316.136	734.555.769.517
8.1 Payable for domestic investor's deposits for securities trading under the company 's management	031.1	507.795.546.073	733.215.022.591
8.2 Payable for foreign investor's deposits for securities trading under the company 's management	031.2	1.159.770.063	1.340.746.926
9. Payable to securities issuer	032	3.945.662.425	6.352.246.425
10. Receivables from investors for error of financial asset transaction	033	0	0
11. Payables to investors for error of financial asset transaction	034	0	0
12. Dividend, bond principal and interest payables	035	8.595.881.347	58.848.479.901

**INCOME STATEMENT ENDED 31 JANUARY 2019**

ITEMS	Code	Quarter I		Accumulated	
		This year	Previous year	This year	Previous year
<b>I. OPERATING REVENUE</b>					
<b>1.1 Gains from Financial assets at fair value through profit and loss (FVTPL)</b>	<b>1</b>	<b>32.888.343.863</b>	<b>35.345.145.067</b>	<b>32.888.343.863</b>	<b>35.345.145.067</b>
a. Gains from disposal of financial assets at FVTPL	1.1	5.621.303.831	14.347.912.430	5.621.303.831	14.347.912.430
b. Gains from revaluation of financial assets at FVTPL	1.2	21.435.232.032	20.335.810.737	21.435.232.032	20.335.810.737
c. Dividend, interests income from financial assets at FVTPL	1.3	5.831.808.000	661.421.900	5.831.808.000	661.421.900
1.2 Gains from Held - to - maturity investments (HTM)	2	2.011.316.488	5.807.798.381	2.011.316.488	5.807.798.381
1.3 Gains from loans and receivables	3	32.166.340.278	30.953.564.646	32.166.340.278	30.953.564.646
1.4 Gains from financial assets available for sale (AFS)	4	1.751.747.000	698.749.000	1.751.747.000	698.749.000
1.5 Gains from derivative tools	5				
1.6 Revenue from brokerage services	6	31.732.764.072	49.878.120.998	31.732.764.072	49.878.120.998
1.7 Revenue from securities underwriting and issuance agency services	7	56.107.228	207.933.195	56.107.228	207.933.195
1.8 Revenue from consultancy services	8				
1.09 Revenue from securities custodian services	9	1.574.789.332	1.335.131.575	1.574.789.332	1.335.131.575
1.10 Revenue from financial advisory services	10	1.313.999.999	1.589.022.727	1.313.999.999	1.589.022.727
1.11 Revenue from other activities	11	146.649.367	119.702.779	146.649.367	119.702.779
<b>TOTAL OPERATING REVENUE (20 = 01&gt;11)</b>	<b>20</b>	<b>103.642.057.627</b>	<b>125.935.168.368</b>	<b>103.642.057.627</b>	<b>125.935.168.368</b>
<b>II. EXPENSE FOR OPERATING ACTIVITIES</b>					
2.1 Loss from Financial assets at fair value through profit and loss (FVTPL)	21	23.392.363.121	29.231.344.953	23.392.363.121	29.231.344.953

a. Loss from disposal of financial assets at FVTPL	21.1	7.556.260.250	2.903.461.060	7.556.260.250	2.903.461.060
b. Loss from revaluation of financial assets at FVTPL	21.2	15.836.102.871	26.327.883.893	15.836.102.871	26.327.883.893
c. Expenses for purchase of FVTPL	21.3				
2.2 Loss from Held - to - maturity investments	22				
2.3 Loss and fair value from assets available for sale (AFS)	23				
2.4 Provision expense for diminution in value and impairment of financial asset and doubtful debts and borrowing costs of loans	24	-3.726.380.100	-1.945.583.230	-3.726.380.100	-1.945.583.230
2.5 Loss from derivative tools	25				
2.6 Expenses for proprietary trading activities	26	371.831.357	379.760.439	371.831.357	379.760.439
2.7 Expenses for brokerage services	27	25.329.673.183	37.587.201.855	25.329.673.183	37.587.201.855
2.8 Expenses for securities underwriting and issuance agency services	28				
2.9 Expenses for consultancy services	29				
2.10 Expenses for securities custodian services	30	2.914.867.945	3.159.137.403	2.914.867.945	3.159.137.403
2.11. Expensesfor financial advisory services	31	1.384.604.019	2.371.099.652	1.384.604.019	2.371.099.652
2.12 Expenses for other activities	32	1.726.714.625	1.648.301.540	1.726.714.625	1.648.301.540
<i>In which: Expenses for fixing transaction and trading errors</i>	33				
<b>TOTAL OPERATING EXPENSE (40 = 21-&gt;32)</b>	<b>40</b>	<b>51.393.674.150</b>	<b>72.431.262.612</b>	<b>51.393.674.150</b>	<b>72.431.262.612</b>
<b>III. FINANCIAL INCOME</b>					
3.1 Realized and unrealized foreign exchange differences	41				
3.2 Revenue, receivalbes from dividends, interest in the period	42	7.702.167.475	4.763.429.623	7.702.167.475	4.763.429.623
3.3 Gains from investment in associates	43				
3.4 Other investment revenue	44				
<b>Total (50 = 41-&gt;44)</b>	<b>50</b>	<b>7.702.167.475</b>	<b>4.763.429.623</b>	<b>7.702.167.475</b>	<b>4.763.429.623</b>
<b>I.V FINANCIAL EXPENSE</b>					
4.1 Realized and unrealized foreign exchange differences	51				

4.2 Borrowing cost	52	8.911.727.553	4.174.403.026	8.911.727.553	4.174.403.026
4.3 Losses from investment in associates	53				
4.4. Expense of provision for impairment of long term financial assets	54				
4.5 Other investment expense	55				
<b>Total financial expense (60 = 51-&gt;55)</b>	<b>60</b>	<b>8.911.727.553</b>	<b>4.174.403.026</b>	<b>8.911.727.553</b>	<b>4.174.403.026</b>
<b>V. Sale expenses</b>	<b>61</b>				
<b>VI. General and administrative expenses</b>	<b>62</b>	17.060.273.703	18.704.741.294	17.060.273.703	18.704.741.294
<b>VII. OPERATING PROFIT (70= 20+50-40-60-61-62)</b>	<b>70</b>	<b>33.978.549.696</b>	<b>35.388.191.059</b>	<b>33.978.549.696</b>	<b>35.388.191.059</b>
<b>VIII. OTHER INCOME AND EXPENSES</b>					
8.1 Other income	71				
8.2 Other expenses	72	97.280.063	120.970.000	97.280.063	120.970.000
<b>Total other activities (80= 71-72)</b>	<b>80</b>	<b>-97.280.063</b>	<b>-120.970.000</b>	<b>-97.280.063</b>	<b>-120.970.000</b>
<b>IX. PROFIT BEFORE TAX (90=70 + 80)</b>	<b>90</b>	<b>33.881.269.633</b>	<b>35.267.221.059</b>	<b>33.881.269.633</b>	<b>35.267.221.059</b>
9.1 Realized profit	91	28.282.140.472	41.259.294.215	28.282.140.472	41.259.294.215
9.2 Unrealized profit	92	5.599.129.161	-5.992.073.156	5.599.129.161	-5.992.073.156
<b>X. Corporate Income tax (CIT)expense</b>	<b>100</b>	4.506.527.301	6.404.140.786	4.506.527.301	6.404.140.786
10.1 Current CIT expense	100.1	4.338.299.618	7.788.657.880	4.338.299.618	7.788.657.880
10.2 Deferred CIT expense	100.2	168.227.683	-1.384.517.094	168.227.683	-1.384.517.094
<b>XI. Profit after tax (200 = 90 - 100)</b>	<b>200</b>	<b>29.374.742.332</b>	<b>28.863.080.273</b>	<b>29.374.742.332</b>	<b>28.863.080.273</b>
<b>XII. OTHER COMPREHENSIVE INCOME AFTER TAX</b>	<b>300</b>				
12.1 Gains/(Losses) from Revaluation of AFS financial assets	301	-66.840.000	9.056.952.960	-66.840.000	9.056.952.960
12.2 Other Gains/(Losses) from Foreign exchange	302				
12.3 Gains/Losses from fair value of fixed assets	303				



12.4 Other gains/Losses	<b>304</b>				
<b>Total comprehensive income</b>	<b>400</b>	-66.840.000	9.056.952.960	-66.840.000	9.056.952.960
<b>XIII. NET INCOME PER SHARE</b>	<b>500</b>	<b>0</b>	<b>0</b>		
13.1 Earning per share (VND/share)	<b>501</b>	407	400	407	400

**CASH FLOW STATEMENT ENDED 31 JANUARY 2019 – DIRECT METHOD**

ITEMS	Code	Accumulated	
		This year	Previous year
<b>I. Cash flows from operating activities</b>			
1. Payments for purchase of financial assets	1	-10.675.204.697.352	-12.412.317.866.753
2. Proceeds from sale of financial assets	2	10.811.899.930.567	12.464.909.977.925
3. Payment to the Settlement Advance fund	3	0	0
4. Dividend received	4	7.537.294.900	675.621.700
5. Coupon interests received	5	47.851.186.236	49.563.397.470
6. Interest paid	6	-14.117.780.822	-118.748.220
7. Payment to suppliers	7	-8.475.954.526	-11.130.649.040
8. Payment to employees	8	-45.158.262.895	-41.353.640.899
9. Tax paid	9	-18.112.421.517	-28.255.120.277
10. Payment for the expense of trading financial assets	10	0	0
11. Other cash receipt from operating activities	11	5.430.861.080.401	4.942.815.401.087
12. Other cash payments for operating activities	12	-6.015.686.905.196	-1.402.444.870.046
<b>Net cash flows from operating activities</b>	<b>20</b>	<b>-478.606.530.204</b>	<b>3.562.343.502.947</b>
<b>II. Cash flows from investing activities</b>		0	0
1. Payment for purchase and construction of fixed assets, investment properties and other assets	21	-886.567.400	-690.420.000
2. Proceeds from disposal and sale of fixed assets, investment properties and other assets	22	0	0
3. Payment for Investments in associates	23	0	0
4. Proceeds from investments in subsidiaries, joint ventures, affiliates and other entities	24	0	0
5. Interest and dividend received from long-term financial investment	25	0	0
<b>Net cash flow used in investing activities</b>	<b>30</b>	<b>-886.567.400</b>	<b>-690.420.000</b>
<b>III. Cash flows from financing activities</b>		0	0
1. Proceeds from issuance of stock and receipt of capital contributed	31	0	0
2. Payments to owner for capital contributed, payments to acquire or redeem the treasury shares	32	0	0
3. Drawdown of borrowings	33	324.400.000.000	21.516.820.883
4. Payments of Drawdown of borrowings	34	-302.151.700.000	-90.090.096.372
5. Payments of leased	35	0	0
6. Dividends, profits paid to shareholders	36	0	0
<b>Net cash low from financing activities</b>	<b>40</b>	<b>22.248.300.000</b>	<b>-68.573.275.489</b>
<b>IV. Net increase/decrease in cash for the period</b>	<b>50</b>	<b>-457.244.797.604</b>	<b>3.493.079.807.458</b>
<b>V. Cash and cash equivalent at the beginning of the period</b>	<b>60</b>	465.849.247.326	10.261.178.967
Cash	61	465.849.247.326	10.261.178.967
Cash equivalents	62	0	0
Effects of changes in foreign exchange rate	63	0	0
<b>VI. Cash and cash equivalent at the end of the period (70 = 50 + 60)</b>	<b>70</b>	<b>8.604.449.722</b>	<b>3.503.340.986.425</b>
Cash	71	8.604.449.722	3.503.340.986.425
Cash equivalent	72	0	0
Effects of changes in foreign exchange rate	73	0	0

**CASH FLOW FROM BROKERAGE AND TRUST ACTIVITIES OF CUSTOMERS  
ENDED 31 JANUARY 2019**

ITEMS	Code	Accumulated	
		This year	Previous year
<b>I. Cash flows from brokerage and trust activities of customers</b>			
1.Cash receipt from sale of securities on behalf of customers	01	11.659.833.899.880	25.495.784.826.008
2.Cash payment for acquisition of securities on behalf of customers	02	-11.618.767.652.225	-19.860.155.657.900
3.Cash receipt from sale trust securities on behalf of customers	03	0	0
4. Cash payment for acquisition trust securities on behalf of customers	04	0	0
5. Cash receipt from Settlement Advance fund borrowing	05	0	0
6. Cash payment for Settlement Advance fund borrowing	06	0	0
7.Cash receipt for settlement of securities transaction of Customers	07	5.472.389.450.288	10.713.404.492.558
8.Cash receipt of Customer's for trust activities	08	0	0
9.Cash payment for custodian fee of customers	09	-1.368.412.364	-1.243.662.304
10.Cash receipt from securities trading errors	10	0	0
11.Cash payment for securities trading errors	11	0	0
12.Cash receipt from securities issuer	12	1.019.735.034.547	2.977.690.010.856
13.Cash payment to securities issuer	13	-1.068.757.732.701	-2.975.833.674.986
14. Cash receipt from brokerage, trust activities	14	19.931.130.191.657	19.521.216.687.358
15. Cash payment for brokerage, trust activities	15	-25.672.454.415.017	-35.951.028.242.769
Net Increase/Decrease in cash during the year	20	<b>-278.259.635.935</b>	<b>-80.165.221.179</b>
<b>II.Cash and Cash equivalent of Customer at the beginning of the year</b>	30	<b>799.756.495.843</b>	<b>737.314.588.699</b>
Cash at bank at the beginning of the year	31	799.756.495.843	737.314.588.699
Investor's Deposits managed byh the company for securities trading activities	32	558.187.678.624	415.638.533.838
Investor's Deposits for clearing and settlement of securities transactions	33	0	0
Investor's synthesizing Deposits for securities trading activities	34	235.604.670.445	318.869.139.597
Deposit of securities issuers	35	5.964.146.774	2.806.915.264
Cash equivalent	36	0	0
Effects of changes in foreign exchange rate	37	0	0
<b>III.Cash and Cash equivalent of Customer at the end of period</b>	40	<b>521.496.859.908</b>	<b>657.149.367.520</b>
Cash at bank at the end of the period	41	521.496.859.908	657.149.367.520
Investor's Deposits managed by the company for securities trading activities	42	220.955.940.115	306.411.553.318
Investor's synthesizing Deposits for securities trading activities	43	297.465.543.364	348.509.314.280
Investor's Deposits for clearing and settlement of securities transactions	44	0	0
Deposit of securities issuers	45	3.075.376.429	2.228.499.922
Cash equivalent	46	0	0
Effects of changes in foreign exchange rate	47	0	0