

## INTERIM COMBINED STATEMENT OF FINANCIAL POSITION AS AT 31 DECEMBER 2020

Currency: VND

ASSETS		End of quarter	Beginning balance
<b>A. CURRENT ASSETS (100 = 110 + 130)</b>	<b>100</b>	<b>3.059.232.236.789</b>	<b>2.216.765.893.431</b>
<b>I. Financial assets (110 = 111 -&gt;129)</b>	<b>110</b>	<b>3.056.842.375.366</b>	<b>2.213.745.653.759</b>
<b>Cash and cash equivalents</b>	<b>111</b>	<b>88.878.481.436</b>	<b>174.237.228.080</b>
1.1 Cash	111.1	88.878.481.436	174.237.228.080
1.2. Cash equivalents	111.2	0	0
2. Financial assets at fair value through profit or loss	112	537.049.359.670	421.327.957.700
3. Held - to - maturity investments	113	140.812.273.973	10.022.246.575
4. Loans	114	2.205.552.755.865	1.514.325.651.880
5. Available for sale	115	141.474.190.977	131.915.592.497
6. Provision for impairment of financial assets and mortgage assets	116	-83.321.740.473	-77.943.762.861
7. Receivables	117	14.297.927.390	17.888.832.396
7.1 Receivables from Sales of financial assets	117.1	0	0
7.2 Receivables and Accrued dividend and interest	117.2	14.297.927.390	17.888.832.396
7.2.1 Due dividends and interests receivables	117.3	0	0
in which: Bad receivables of due dividends and interests	117.3.1	0	0
7.2.2 Expected receivables of undue dividends and interests	117.4	14.297.927.390	17.888.832.396
8. Advances to suppliers	118	6.277.398.095	6.440.012.898
9. Receivables from services provided by the Company	119	3.831.342.787	4.243.758.225
10. Internal receivables	120	0	0
11. Trading errors receivables	121	0	0
12. Other receivables	122	17.974.115.345	27.271.866.068
13. Provision for impairment of receivables	129	-15.983.729.699	-15.983.729.699
<b>II. Other current assets (130 = 131-&gt;136)</b>	<b>130</b>	<b>2.389.861.423</b>	<b>3.020.239.672</b>
1. Advances	131	197.767.410	583.827.230
2. Office supplies, material and tools	132	440.296.625	486.898.450
3. Short-term prepaid expenses	133	1.751.797.388	1.949.513.992
4. Short-term deposits, collaterals and pledges	134	0	0
5. Deductible VAT	135	0	0
6. Taxes and receivables from the State	136	0	0
7. Other current assets	137	0	0
8. Bond repos trading	138	0	0
9. Provision for impairment of short-term assets	139	0	0
<b>B. NON-CURRENT ASSETS (200 = 210 + 220 + 230 + 240 + 250 - 260)</b>	<b>200</b>	<b>354.091.331.480</b>	<b>181.087.292.225</b>
<b>I. Long-term financial assets</b>	<b>210</b>	<b>304.233.950.719</b>	<b>118.581.166.699</b>
1. Long-term receivables	211	0	0
2. Investments	212	308.601.949.719	120.449.203.000

2.1 Held - to - maturity investments	212.1	155.743.269.525	0
2.2 Investment in Subsidiaries	212.2	0	0
2.3 Investment in Joint Ventures	212.3	0	0
2.4 Other long-term investment	212.4	152.858.680.194	120.449.203.000
3. Provision for impairment of long term financial assets	213	-4.367.999.000	-1.868.036.301
<b>II. Fixed Assets</b>	<b>220</b>	<b>14.820.669.737</b>	<b>16.846.722.014</b>
1. Tangible fixed assets	221	12.973.845.649	14.606.828.563
- Cost	222	38.831.601.069	35.972.723.069
- Accumulated Depreciation	223a	-25.857.755.420	-21.365.894.506
- Fixed assets valuation at fair value	223b	0	0
2. Leased fixed assets	224	0	0
- Cost	225	0	0
- Accumulated Depreciation	226a	0	0
'- Leased fixed assets valuation at fair value	226b	0	0
3. Intangible fixed assets	227	1.846.824.088	2.239.893.451
- Cost	228	14.911.551.090	14.530.251.090
- Accumulated Amortization	229a	-13.064.727.002	-12.290.357.639
- Intangible fixed assets valuation at fair value	229b	0	0
III. Investment property	230	0	0
- Cost	231	0	0
- Accumulated Depreciation	232a	0	0
- Investment property valuation at fair value	232b	0	0
IV. Construction in progress	240	1.585.715.000	0
<b>V. Other long-term assets</b>	<b>250</b>	<b>33.450.996.024</b>	<b>45.659.403.512</b>
1. Long-term deposits, collaterals and pledges	251	1.116.581.840	1.092.282.572
2. Long-term Prepaid Expenses	252	11.434.414.184	23.388.143.453
3. Deferred Tax Assets	253	0	0
4. Deposits to Settlement Assistance fund	254	20.900.000.000	21.178.977.487
5. Other long-term assets	255	0	0
VI. Provision for impairment of long term assets	260	0	0
<b>TOTAL ASSETS (270 = 100 + 200)</b>	<b>270</b>	<b>3.413.323.568.269</b>	<b>2.397.853.185.656</b>
<b>C. LIABILITIES (300 = 310 + 340)</b>	<b>300</b>	<b>1.538.306.134.066</b>	<b>591.219.993.235</b>
<b>I. Current liabilities</b>	<b>310</b>	<b>1.421.348.325.924</b>	<b>180.864.567.225</b>
<b>1. Short-term borrowings and financial leases</b>	<b>311</b>	<b>895.000.000.000</b>	<b>100.000.000.000</b>
1.1 Short-term borrowings	312	895.000.000.000	100.000.000.000
1.2 Short-term Loans from financial leases	313	0	0
2. Short-term borrowings for financial assets	314	0	0
3. Short-term convertible bond	315	0	0
4. Short-term issued bond	316	0	0
5. Borrowings for the Settlement Advance fund	317	0	0
6. Payables for securities trading expenses	318	5.335.570.233	2.810.129.998
7. Payables for trading error of financial assets	319	0	0
8. Short-term trade Payables	320	6.500.019.261	2.904.469.374
9. Short-term advance from customers	321	214.945.367.272	7.017.431.817
10. Statutory obligations	322	14.907.661.489	7.654.954.770
11. Payables to employees	323	52.473.368.802	35.568.500.561
12. Employees benefits	324	1.134.201.903	1.165.913.810

13. Short-term accrued expenses	325	5.571.680.534	2.491.758.782
14. Short-term intra company payables	326	0	0
15. Short-term unrealized revenue	327	0	0
16. Short-term received Guarantee Deposits	328	0	0
17. Other Short-term payables	329	217.145.724.579	2.845.649.995
18. Short-term provision for payables	330	0	12.000.000.000
19. Bonus and welfare fund	331	8.334.731.851	6.405.758.118
20. Payables under Bonds repo agreement	332	0	0
<b>II. Non-current liabilities</b>	<b>340</b>	<b>116.957.808.142</b>	<b>410.355.426.010</b>
1. Long-term borrowings and leased	341	0	0
1.1 Long-term borrowings	342	0	0
1.2 Long-term leased	343	0	0
2. Long-term borrowing financial assets	344	0	0
3. Long-term convertible bond	345	0	0
4. Long-term issued bond	346	100.251.422.216	400.387.697.434
5. Long-term trade Payables	347	0	0
6. Long-term advance from customers	348	0	0
7. Long-term accrued expenses	349	0	0
8. Long-term intra company payables	350	0	0
9. Long-term unrealized revenue	351	0	0
10. Long-term received Guarantee Deposits	352	0	0
11. Other long-term payables	353	0	0
12. Long-term provision for payables	354	0	0
13. Provision of Indemnity Investor	355	0	0
14. Deferred Tax Liability	356	16.706.385.926	9.967.728.576
15. Science and Technology Development Fund	357	0	0
<b>A. Owner's equity (400 = 410 + 420)</b>	<b>400</b>	<b>1.875.017.434.203</b>	<b>1.806.633.192.421</b>
<b>I. Owner's equity</b>	<b>410</b>	<b>1.875.017.434.203</b>	<b>1.806.633.192.421</b>
<b>1. Capital</b>	<b>411</b>	<b>1.332.095.854.220</b>	<b>1.332.095.854.220</b>
<b>1.1 Contributed capital</b>	<b>411.1</b>	<b>722.339.370.000</b>	<b>722.339.370.000</b>
a. Legal capital	411.1a	722.339.370.000	722.339.370.000
b. Supplementary capital	411.1b	0	0
1.2 Share premium	411.2	610.253.166.720	610.253.166.720
1.3 Bond option	411.3	0	0
1.4 Other capital	411.4	0	0
1.5 Treasury shares	411.5	-496.682.500	-496.682.500
2. Difference from revaluation of assets at fair value	412	47.799.915.608	30.894.437.854
3. Foreign exchange differences	413	0	0
4. Charter capital supplementary reserve	414	47.787.799.189	42.517.552.484
5. Operational risk and financial reserve	415	47.787.799.189	42.517.552.484
6. Other funds	416	0	0
7. Undistributed Profit	417	399.546.065.997	358.607.795.379
7.1 Realized profit	417.1	394.182.773.818	380.595.251.718
7.2 Unrealized profit	417.2	5.363.292.179	-21.987.456.339
<b>II. Other resources and funds</b>	<b>420</b>	<b>0</b>	<b>0</b>
<b>TOTAL LIABILITIES AND OWNER'S EQUITY</b>	<b>440</b>	<b>3.413.323.568.269</b>	<b>2.397.853.185.656</b>

**INTERIM COMBINED OFF - BALANCE SHEET ITEMS**

**A. ASSETS OF THE COMPANY AND ASSETS MANAGED UNDER AGREEMENTS**

Currency: VND

ASSETS		End of quarter	Beginning balance
1. Leased fixed assets	001	0	0
2. Pledged valuable papers	002	10.975.070.000	0
3. Mortgaged assets	003	0	0
4. Bad debt written off	004	390.400.000	390.400.000
5. Foreign currencies	005	0	0
6. Outstanding shares	006	72.200.145	72.200.145
7. Treasury shares	007	33.792	33.792
8. The company 's Financial assets listed/ registered at VSD	008	162.224.140.000	154.658.540.000
9. The company 's non-trade Financial assets deposited at VSD	009	3.000.030.000	3.000.000.000
10. The company 's awaiting Financial assets	010	61.150.000.000	385.900.000
11. The company 's Financial assets used to correct trading errors	011	0	0
12. The company 's Financial assets which have not been deposited at VSD	012	174.686.470.000	174.686.470.000
13. Financial assets under rights enjoyment	013	0	0

**B. ASSETS AND LIABILITIES MANGED UNDER AGREEMENT WITH INVESTORS**

Currency: VND

ASSETS		End of quarter	Beginning balance
1. Investor's financial assets listed/ registered for trading at VSD	021	28.702.733.325.500	26.179.273.156.000
a. Unrestricted financial assets	021.1	26.803.077.420.000	25.172.493.819.000
b. Restricted financial assets	021.2	735.838.250.000	234.606.360.000
c. Mortgage financial assets	021.3	644.711.310.000	509.936.430.000
d. Isolated or suspended financial assets	021.4	92.969.860.000	92.969.860.000
e. Financial assets awaiting settlement	021.5	426.136.485.500	169.266.687.000
f. Financial assets awaiting for lending	021.6	0	0
2. Investor's non- traded financial assets deposited at VSD	022	53.178.860.000	15.519.200.000
a. Unrestricted and non-traded financial assets deposited at VSD	022.1	31.590.860.000	5.844.020.000
b. Restricted and non-traded financial assets deposited at VSD	022.2	21.588.000.000	9.675.180.000
c. Non-traded, non- mortgaged financial assets deposited at VSD	022.3	0	0
d. . Non-traded, non- isolated, not suspend financial assets deposited at VSD	022.4	0	0
3. Investor's awaiting financial assets	023	370.984.343.400	119.739.034.000
4. Financial assets used to correct trading errors of	024.a	0	0

investors			
5. Investor's financial assets which have not been deposited at VSD	024.b	2.566.395.860.000	2.492.322.700.000
6. Investor's financial assets under rights enjoyment	025	0	0
7. Investor 's Deposits	026	1.176.052.114.156	588.148.124.654
7.1 Deposits for brokerage activities	027	599.761.947.810	364.578.483.902
7.2 Investor's synthesizing deposits for securities trading activities	028	493.104.582.949	223.301.242.574
7.3 Deposits for clearing and settlement activities	029	0	0
a. Domestic Investor's deposits for clearing and settlement activities	029.1	0	0
b. Foreign Investor's deposits for clearing and settlement activities	029.2	0	0
7.4 Securities issuers 's deposits	030	83.185.583.397	268.398.178
8. Payable for investor's deposits for securities trading under the company 's management	031	1.062.348.309.557	564.487.860.800
8.1 Payable for domestic investor's deposits for securities trading under the company 's management	031.1	1.061.076.042.795	563.256.823.806
8.2 Payable for foreign investor's deposits for securities trading under the company 's management	031.2	1.272.266.762	1.231.036.994
9. Payable to securities issuer	032	3.909.482.426	14.999.565.694
10. Receivables from investors for error of financial asset transaction	033	0	0
11. Payables to investors for error of financial asset transaction	034	0	0
12. Dividend, bond principal and interest payables	035	109.794.322.173	8.660.698.160

**INTERIM COMBINED INCOME STATEMENT ENDED 31 DECEMBER 2020**

Currency: VND

		Quarter IV		Accumulated	
		Current year	Previous year	Current year	Previous year
<b>I. OPERATING REVENUE</b>					
<b>1.1 Gains from Financial assets at fair value through profit and loss (FVTPL)</b>	<b>1</b>	<b>77.818.968.389</b>	<b>65.631.171.418</b>	<b>202.218.432.157</b>	<b>184.910.055.845</b>
a. Gains from disposal of financial assets at FVTPL	1.1	15.010.130.625	11.363.499.352	52.420.543.941	51.447.253.099
b. Gains from revaluation of financial assets at FVTPL	1.2	21.560.515.602	21.317.047.125	76.235.023.711	63.837.989.373
c. Dividend, interests income from financial assets at FVTPL	1.3	41.248.322.162	32.950.624.941	73.562.864.505	69.624.813.373
1.2 Gains from Held - to - maturity investments (HTM)	2	3.603.183.916	22.246.575	6.873.406.512	2.943.826.785
1.3 Gains from loans and receivables	3	63.099.563.128	63.056.362.006	174.437.946.140	193.702.056.566
1.4 Gains from financial assets available for sale (AFS)	4	24.266.600		3.814.055.000	4.971.727.400
1.5 Gains from derivative tools	5				
1.6 Revenue from brokerage services	6	58.941.628.477	33.143.248.406	151.391.863.796	135.858.907.322
1.7 Revenue from securities underwriting and issuance agency services	7	6.058.590.911		14.621.418.127	62.602.909
1.8 Revenue from consultancy services	8				
1.09 Revenue from securities custodian services	9	1.972.199.313	1.531.568.669	5.677.436.990	5.846.382.979
1.10 Revenue from financial advisory services	10	980.000.000	1.251.045.454	6.849.895.454	11.022.954.544
1.11 Revenue from other activities	11	1.614.137.468	750.264.925	2.521.476.224	1.945.263.739
<b>TOTAL OPERATING REVENUE (20 = 01&gt;11)</b>	<b>20</b>	<b>214.112.538.202</b>	<b>165.385.907.453</b>	<b>568.405.930.400</b>	<b>541.263.778.089</b>
<b>II. EXPENSE FOR OPERATING ACTIVITIES</b>					
2.1 Loss from Financial assets at fair value through profit and loss (FVTPL)	21	52.211.904.585	56.945.730.592	143.062.996.205	139.063.068.343

a. Loss from disposal of financial assets at FVTPL	21.1	40.999.034.012	39.132.044.910	96.691.008.922	83.096.115.437
b. Loss from revaluation of financial assets at FVTPL	21.2	11.212.870.573	17.813.685.682	46.371.987.283	55.966.952.906
c. Expenses for purchase of FVTPL	21.3				
2.2 Loss from Held - to - maturity investments	22				
2.3 Loss and fair value from assets available for sale (AFS)	23				
2.4 Provision expense for diminution in value and impairment of financial asset and doubtful debts and borrowing costs of loans	24	5.691.214.375	-1.345.852.347	7.877.940.311	-12.674.194.138
2.5 Loss from derivative tools	25				
2.6 Expenses for proprietary trading activities	26	912.854.777	1.659.925.210	2.280.903.625	2.929.485.497
2.7 Expenses for brokerage services	27	47.125.797.663	36.548.701.127	113.819.865.912	119.277.954.084
2.8 Expenses for securities underwriting and issuance agency services	28				
2.9 Expenses for consultancy services	29				
2.10 Expenses for securities custodian services	30	4.587.443.037	6.176.692.871	12.934.457.354	14.720.763.230
2.11. Expensesfor financial advisory services	31	5.732.898.541	4.668.723.200	13.384.009.475	12.491.091.723
2.12 Expenses for other activities	32	3.519.371.775	3.377.488.936	9.344.957.203	8.894.414.299
<i>In which: Expenses for fixing transaction and trading errors</i>	33			600.000	
<b>TOTAL OPERATING EXPENSE (40 = 21-&gt;32)</b>	<b>40</b>	<b>119.781.484.753</b>	<b>108.031.409.589</b>	<b>302.705.130.085</b>	<b>284.702.583.038</b>
<b>III. FINANCIAL INCOME</b>					
3.1 Realized and unrealized foreign exchange differences	41				119.046
3.2 Revenue, receivalbes from dividends, interest in the period	42	8.450.831.600	10.710.421.550	25.640.566.684	22.391.026.254
3.3 Gains from investment in associates	43				
3.4 Other investment revenue	44				
<b>Total (50 = 41-&gt;44)</b>	<b>50</b>	<b>8.450.831.600</b>	<b>10.710.421.550</b>	<b>25.640.566.684</b>	<b>22.391.145.300</b>
<b>I.V FINANCIAL EXPENSE</b>					
4.1 Realized and unrealized foreign exchange differences	51		1.976.854	287.184	1.976.854

4.2 Borrowing cost	52	8.587.953.868	8.116.581.381	32.512.515.462	39.502.779.067
4.3 Losses from investment in associates	53				
4.4. Expense of provision for impairment of long term financial assets	54				
4.5 Other investment expense	55			200.000.000	
<b>Total financial expense (60 = 51-&gt;55)</b>	<b>60</b>	<b>8.587.953.868</b>	<b>8.118.558.235</b>	<b>32.712.802.646</b>	<b>39.504.755.921</b>
<b>V. Sale expenses</b>	<b>61</b>				
<b>VI. General and administratiive expenses</b>	<b>62</b>	39.368.620.817	19.690.150.852	103.785.282.879	88.155.629.150
<b>VII. OPERATING PROFIT (70= 20+50-40-60-61-62)</b>	<b>70</b>	<b>54.825.310.364</b>	<b>40.256.210.327</b>	<b>154.843.281.474</b>	<b>151.291.955.280</b>
<b>VIII. OTHER INCOME AND EXPENSES</b>					
8.1 Other income	71		390.636.364	311.980.118	394.272.728
8.2 Other expenses	72	88.440.000	151.531.234	353.585.000	523.614.540
<b>Total other activities (80= 71-72)</b>	<b>80</b>	<b>-88.440.000</b>	<b>239.105.130</b>	<b>-41.604.882</b>	<b>-129.341.812</b>
<b>IX. PROFIT BEFORE TAX (90=70 + 80)</b>	<b>90</b>	<b>54.736.870.364</b>	<b>40.495.315.457</b>	<b>154.801.676.592</b>	<b>151.162.613.468</b>
9.1 Realized profit	91	44.389.225.335	36.991.954.014	124.938.640.164	143.291.577.001
9.2 Unrealized profit	92	10.347.645.029	3.503.361.443	29.863.036.428	7.871.036.467
<b>X. Corporate Income tax (CIT)expense</b>	<b>100</b>	6.946.612.892	3.186.348.179	22.045.993.972	15.653.003.473
10.1 Current CIT expense	100.1	5.354.220.492	3.506.126.079	19.533.706.062	15.361.510.496
10.2 Deferred CIT expense	100.2	1.592.392.400	-319.777.900	2.512.287.910	291.492.977
<b>XI. Profit after tax (200 = 90 - 100)</b>	<b>200</b>	<b>47.790.257.472</b>	<b>37.308.967.278</b>	<b>132.755.682.620</b>	<b>135.509.609.995</b>
<b>XII. OTHER COMPREHENSIVE INCOME AFTER TAX</b>	<b>300</b>				
12.1 Gains/(Losses) from Revaluation of AFS financial assets	301	20.982.683.183	4.253.064.000	16.905.477.754	7.746.376.000
12.2 Other Gains/(Losses) from Foreign exchange	302				
12.3 Gains/Losses from fair value of fixed assets	303				



12.4 Other gains/Losses	<b>304</b>				
<b>Total comprehensive income</b>	<b>400</b>	20.982.683.183	4.253.064.000	16.905.477.754	7.746.376.000
<b>XIII. NET INCOME PER SHARE</b>	<b>500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
13.1 Earning per share (VND/share)	<b>501</b>	541	464	1.394	1.693

**INTERIM COMBINED CASH FLOW STATEMENT ENDED 31 DECEMBER 2020 – DIRECT METHOD**

Currency: VND

ITEMS	Code	Accumulated	
		Current year	Previous year
<b>I. Cash flows from operating activities</b>			
1. Payments for purchase of financial assets	1	-49.800.591.074.538	-52.573.822.607.317
2. Proceeds from sale of financial assets	2	49.035.908.295.553	52.909.923.549.908
3. Payment to the Settlement Advance fund	3	0	0
4. Dividend received	4	55.484.384.749	61.651.057.392
5. Coupon interests received	5	204.651.076.732	218.684.404.057
6. Interest paid	6	-29.745.683.268	-50.545.315.983
7. Payment to suppliers	7	-31.988.135.014	-35.559.175.855
8. Payment to employees	8	-126.503.332.006	-114.495.872.255
9. Tax paid	9	-70.491.086.448	-71.057.257.918
10. Payment for the expense of trading financial assets	10	0	0
11. Other cash receipt from operating activities	11	15.906.637.864.431	27.608.185.677.922
12. Other cash payments for operating activities	12	-15.449.029.293.935	-28.076.646.464.583
<b>Net cash flows from operating activities</b>	<b>20</b>	<b>-305.666.983.744</b>	<b>-123.682.004.632</b>
<b>II. Cash flows from investing activities</b>		0	0
1. Payment for purchase and construction of fixed assets, investment properties and other assets	21	-3.171.780.000	-5.330.206.154
2. Proceeds from disposal and sale of fixed assets, investment properties and other assets	22	0	0
3. Payment for Investments in associates	23	0	0
4. Proceeds from investments in subsidiaries, joint ventures, affiliates and other entities	24	0	0
5. Interest and dividend received from long-term financial investment	25	0	0
<b>Net cash flow used in investing activities</b>	<b>30</b>	<b>-3.171.780.000</b>	<b>-5.330.206.154</b>
<b>III. Cash flows from financing activities</b>		0	0
1. Proceeds from issuance of stock and receipt of capital contributed	31	0	0
2. Payments to owner for capital contributed, payments to acquire or redeem the treasury shares	32	0	0
3. Drawdown of borrowings	33	1.451.000.000.000	1.078.690.000.000
4. Payments of Drawdown of borrowings	34	-1.156.000.000.000	-1.169.582.200.000
- Loan principal payments to payment assistance funds	34.1		
- Loan principal payments of financial assets	34.2		
- Other loan principal payments	34.3	-1.156.000.000.000	-1.169.582.200.000
5. Payments of leased	35		
6. Dividends, profits paid to shareholders	36	-71.519.982.900	-71.707.608.460
<b>Net cash low from financing activities</b>	<b>40</b>	<b>223.480.017.100</b>	<b>-162.599.808.460</b>
<b>IV. Net increase/decrease in cash for the period</b>	<b>50</b>	<b>-85.358.746.644</b>	<b>-291.612.019.246</b>
<b>V. Cash and cash equivalent at the beginning of the period</b>	<b>60</b>	174.237.228.080	465.849.247.326
Cash	61	174.237.228.080	465.849.247.326
Cash equivalents	62	0	0
Effects of changes in foreign exchange rate	63	0	0
<b>VI. Cash and cash equivalent at the end of the period (70 = 50 + 60)</b>	<b>70</b>	<b>88.878.481.436</b>	<b>174.237.228.080</b>
Cash	71	88.878.481.436	174.237.228.080
Cash equivalent	72	0	0
Effects of changes in foreign exchange rate	73	0	0

**CASH FLOW FROM BROKERAGE AND TRUST ACTIVITIES OF CUSTOMERS  
ENDED 31 DECEMBER 2020**

Currency: VND

ITEMS	Code	Accumulated	
		Current year	Previous year
<b>I. Cash flows from brokerage and trust activities of customers</b>			
1. Cash receipt from sale of securities on behalf of customers	01	57.693.377.697.567	54.392.648.561.090
2. Cash payment for acquisition of securities on behalf of customers	02	-56.713.771.038.156	-50.919.531.828.214
3. Cash receipt from sale trust securities on behalf of customers	03	0	0
4. Cash payment for acquisition trust securities on behalf of customers	04	0	0
5. Cash receipt from Settlement Advance fund borrowing	05	0	0
6. Cash payment for Settlement Advance fund borrowing	06	0	0
7. Cash receipt for settlement of securities transaction of Customers	07	22.384.014.426.187	25.390.359.305.280
8. Cash receipt of Customer's for trust activities	08	0	0
9. Cash payment for custodian fee of customers	09	-4.066.354.560	-3.384.346.741
10. Cash receipt from securities trading errors	10	0	0
11. Cash payment for securities trading errors	11	0	0
12. Cash receipt from securities issuer	12	4.201.553.399.557	3.215.162.056.719
13. Cash payment to securities issuer	13	-4.121.843.082.087	-3.250.162.669.974
14. Cash receipt from brokerage, trust activities	14	85.284.015.628.852	94.947.832.493.877
15. Cash payment for brokerage, trust activities	15	-108.135.376.687.858	-123.984.531.943.226
Net Increase/Decrease in cash during the year	20	<b>587.903.989.502</b>	<b>-211.608.371.189</b>
<b>II. Cash and Cash equivalent of Customer at the beginning of the year</b>	30	<b>588.148.124.654</b>	<b>799.756.495.843</b>
Cash at bank at the beginning of the year	31	588.148.124.654	799.756.495.843
Investor's Deposits managed byh the company for securities trading activities	32	364.578.483.902	558.187.678.624
Investor's Deposits for clearing and settlement of securities transactions	33		
Investor's synthesizing Deposits for securities trading activities	34	223.301.242.574	235.604.670.445
Deposit of securities issuers	35	268.398.178	5.964.146.774
Cash equivalent	36	0	0
Effects of changes in foreign exchange rate	37	0	0
<b>III. Cash and Cash equivalent of Customer at the end of period</b>	40	<b>1.176.052.114.156</b>	<b>588.148.124.654</b>
Cash at bank at the end of the period	41	1.176.052.114.156	588.148.124.654
Investor's Deposits managed by the company for securities trading activities	42	599.761.947.810	364.578.483.902
Investor's synthesizing Deposits for securities trading activities	43	493.104.582.949	223.301.242.574
Investor's Deposits for clearing and settlement of securities transactions	44		
Deposit of securities issuers	45	83.185.583.397	268.398.178
Cash equivalent	46		0
Effects of changes in foreign exchange rate	47	0	0